Operating Systems Architecture, Part IIb, IIIa-b

CS239 Advanced Operating Systems October 8, 1998 Dr. Richard Guy

CS 239, Fall 1998 Lecture 2 Page 1

Outline

- Part I: Why study OS?
- Part II: Best way to build OS
  - Taxonomy of approaches
  - **μ–kernel examples**
- **Part III: What's new?**
  - **Microkernels, revisited**
  - **Operating System Extensibility**
  - 64-bit Architectures

CS 239, Fall 1998 Lecture 2 Page 2

Part IIIa-b Source Materials

- Microkernel Performance
  - On μ-Kernel Construction, Liedtke, SOSP95.
  - The Performance of μ-Kernel-Based Systems, by Hartig, et al, in SOSP, 1997.
- Extensibility
  - Porting UNIX to Windows NT, Korn, USENIX, 1997.

CS 239, Fall 1998 Lecture 2 Page 3

Source materials, continued

– Extensibility, Safety and Performance in the SPIN Operating System, by Bershad, SOSP95.
– The Case for Application-Specific Operating Systems, Anderson, WWOS92.
– Exokernel: An Operating System Architecture for Application-Level Resource Management, by Engler, et al, in SOSP, 1995.
– Application Performance and Flexibility on Exokernel Systems, Kaashoek, et al, SOSP97.

and more materials...

– Microkernels Meet Recursive Virtual Machines, by Ford, et al, in OSDI, 1996.
– The Flux OSKit: A Substrate for Kernel and Language Research, by Ford et al, in SOSP97.

– SLIC: An Extensibility System for Commodity Operating Systems, by Ghormley, et al, in USENIX, 1998.

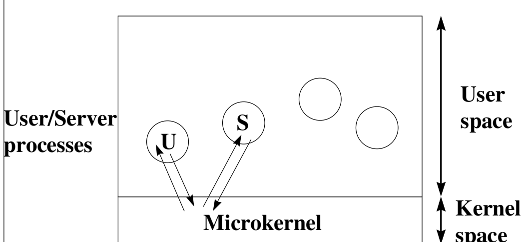
Are Microkernels Dead?

- "The operating systems research community has almost completely abandoned research on system architectures that are based on pure µ-kernels, i.e., kernels that provide only address spaces, threads, and IPC…", by Hartig et al, in SOSP97.

Why Haven't μ-kernels Won?

- Typical microkernels require extensive OS/user boundary crossings for basic work
  - each crossing is fairly expensive
  - so, many microkernels have poor performance
- "Microkernel" grows out of its micro-ness
  - compare Mach 2.5 vs Mach 3.0 performance
- Are microkernels a bad idea, or did the early designers make bad choices?

Generic Microkernel Model



The Problem With μ-kernels

- Microkernels should be small
- But they must also be powerful
- So what goes in your microkernel?
  - the fundamental decision in microkernel OS design
- Must choose minimal, yet sufficient, primitives

Microkernel Design - A Balancing Act

If you put too much in a microkernel

- It's no longer "micro," and you lose all advantages

If you put too little in a microkernel

- It lacks sufficient power, and performance suffers

The fundamental problem in microkernel design - kernel crossings are expensive

How To Optimize Microkernel Performance?

- Minimize what must be in the microkernel
- Make those primitives really fast
- e.g., Liedtke's L3 microkernel
  - "On μ-kernel Construction," SOSP95
  - follow-up work in SOSP97 with L4

Liedtke's Minimal Primitives

- Support for recursive construction of address spaces
  - With kernel-provided protected address space at the base
- Threads
- IPC
- Unique identifier support

L3 Address Space Support

- Supports three primitives
  1). Grant - give pages to another address space
  2). Map - share pages with another address space
  3). Flush - take back mapped or granted pages
- Not in microkernel -
  – Memory management
  – Paging
- I/O treated as address space

L3 Thread Support

- L3 thread is an activity executing inside an address space
- Characterized as a set of registers
  – including at least IP, SP, and state information
- Microkernel maintains association of thread to address space
  – otherwise, threads might unsafely cross address spaces

L3 Microkernel IPC Support

- Kernel provides communications between address spaces
  – by transferring messages between them
- Other IPC abstractions built on top of messages
- Messages can be used as an abstraction for hardware interrupts, too

L3 Support For Unique Identifiers

- Microkernel must reliably tag unique identifiers onto something
  - Threads, tasks, or channels
- Otherwise, prohibited operations can masquerade as legal operations

Can A Microkernel Supporting These Perform Well?

- Well, L3 is *very* fast [ran on 486/50MHz]
- Careful implementation of kernel-user task switches allows L3 to switch in less than 3 µsecs vs. Mach's 18 µsecs (primarily cost of entering/leaving kernel mode)
- Liedtke also argues that other poor switching and caching effects are avoidable

L4Linux Performance

System	Time	Cycles
Linux	1.68µs	223
L4Linux	3.95µs	526
MkLinux (kernel)	15.41µs	2050
MkLinux (user)	110.60µs	14710

getpid( ) system call on 133MHz Pentium

What's the Cost of Fast Microkernels?

- Non-portability - getting stuff to run that fast inherently involves using special characteristics of particular hardware
- Is this terrible?
  - only if the microkernel is large and complex
  - if not, porting to new architecture isn't too bad
  - especially since some porting required, anyway

Extensibility Research

- Fundamentals and motivation
  - Unix on NT
- Extensibility experiments
  - Spin
  - Exokernel
  - Fluke
  - SLIC

The problem...

- Microkernels and layers systems promised easy extensibility
- But extensibility hasn't been so easy
- Why is extensibility hard?
- What can designers do to make it easier?

Why Is Extensibility Required?

- Hard to design one system that does everything well
  - Special OSs for databases and real time systems
  - Some propose special Java-supporting OS
- Progress happens
  - Five years ago, who knew network servers would need to deliver Web pages?
  - Today's OSs not equipped for multimedia
  - Economics (market share) vs technology

Why Is Extensibility Hard?

- What can(not) be extended in an OS?
- How can you design an OS that can be safely extended?
- How can extensions to an OS run sufficiently fast?

What Can Be Extended

- Most operating systems allow some extensions
  - e.g., new device drivers, new file systems
  - sometimes new schedulers or pagers
- Most systems don't allow everything to be extended
- Deciding what can and can't be extended is tricky

Safe Extensions

- How can one user (process) extend a system without endangering others?
  - worry about error or malice
- If the extension is in kernel mode, can't it do anything?
- If it isn't in kernel mode, can it perform well enough?

Extensibility and Performance

- The real trick is having both
  - without sacrificing safety
- Many extensions are at a very low level
  - they are invoked frequently
  - and must work quickly

WinNT and Unix: Economics and Portability

- 1990 Server market: Unix 90% vs else 10%
- 1998 Server market: Unix 10% vs NT 90%
- AT&T needs to port many apps to WinNT
- How to support apps on both platforms?
- Recall that WinNT provides multiple APIs
  - Win32
  - POSIX
  - OS/2

Six Possible Porting Strategies

- Rewrite code using Win32 API
- Use Microsoft C library, with fixes
- Rewrite code using virtual system interface
- Port app to POSIX subsystem on NT
- Use POSIX or X/Open library in Win32
  - exploit existing vendors' offerings
  - start from scratch

Goals

- Work with Windows 3.1, 95 and NT
- Initially, provide POSIX with library
  - but, sockets and other IPC not in POSIX spec
- Needed full Unix functionality (X/Open R4)
- No Win32-specific changes to app source
- Minimal overhead
- Interoperability with native WinNT system

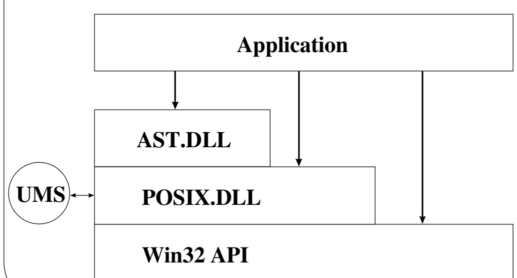
Problems to Solve

- WinNT file systems (FAT, HPFS, NTFS)
- Line delimiters
- Handles vs file descriptors
- Inconsistent interfaces
- Fork/exec not a WinNT concept
- Parent/child process notion; process groups
- Signals

More Problems

- Ids and permissions
- Terminal interface
- Special files (esp. named pipes, soft links)
- Shared libraries
- Compiler and library issues
- Environment variable conflicts

UWIN Architecture



UWIN Performance

- UWIN vs Win32/Microsoft C library
- UWIN vs Linux
  - Linux generally fastest Unix on Pentium
- Mixed results across the board
  - no one of the three targets is arguably best

Extensibility Experments

- Spin
- Exokernel
- Fluke
- SLIC

Spin

- An extensible OS developed at the University of Washington
- Goals: extensibility and customization
- Approach is to allow users to download code into the kernel
- Suitable performance achieved by making the cost of using extensions comparable to a procedure call

The Spin Philosophy

- Provide a set of core operating system services
- Allow extension of system services by downloading new code <u>into</u> the kernel
- Ensure safety of extensions by using a type-safe language and strong interfaces between system services

Core Spin Services

- Extensible memory management
- Extensible thread management
- A few others
- A few trusted internals (e.g., device drivers) written in C

Spin Extensions

- Written in Modula-3
  - a type-safe language
  - also supports strong interfaces and automatic memory management
- Typical extension makes calls on interfaces of the core services
- Since both extension and core services are in kernel space, potentially very fast

Spin Capabilities

- Kernel resources in Spin are referenced by capabilities
- A capability is an unforgeable reference to a resource
  - system object
  - interface
  - collection of interfaces
- Capabilities in Spin are Modula-3 pointers
  - Safety ensured at compile time

Spin Protection Domains

- Set of accessible names available to an execution context
- Unlike most systems, not provided by virtual addressing
- Protected by the language at compile time

Extending Spin

- Many extensions possible
  – Monitoring
  – Hints to system algorithms
  – Replacement of scheduler, etc.
- Extensions defined in terms of *events* and *handlers*
  – Event announces change in system state
  – Handler receives message
  – Extensions register handlers to match to events

Spin Performance

- Highly variable, of course
- But typically a little faster to vastly faster
  – Than either monolithic or micro-kernels
- For example, user-level thread Fork/Join
  – DEC OSF/1 1230 microseconds
  – Mach 338 microseconds
  – Spin 85 microseconds

The Exokernel

- Extensible OS being developed at MIT
- Fundamental idea is to expose very low level details of hardware to users
- Provide libraries to support their typical needs

The Exokernel Philosophy

- OSs typically do two basic things
  - multiplex hardware
  - provide useful abstractions of hardware
- Multiplexing hardware is good
- Providing abstractions of hardware is bad
  - no abstraction is always right
  - abstractions cost performance

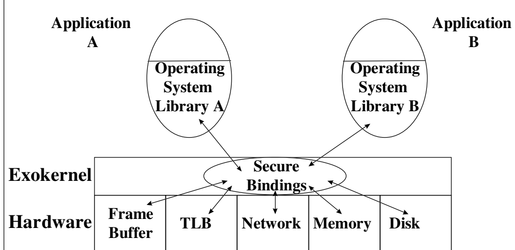
What's So Bad About Abstractions?

- Abstractions deny applications the advantages of domain-specific optimizations
- Abstractions discourage changes to existing implementation
- New abstractions can only be built on top of old ones, which can be clumsy

So Down With Abstractions!

- The Exokernel philosophy suggests the only kernel OS functionality should relate to hardware multiplexing
- All abstractions should be provided in libraries at the user level
- Key is to design good, cheap hardware access facilities

Basic Exokernel Architecture



How Is This Different Than Microkernels?

- It's the most extreme microkernel possible
  - Short of none
- ALL the exokernel does is multiplex hardware
- Actually, it's not quite no abstractions, but it's very, very few
- Compare bare (multiplexed) hardware to Liedtke's address spaces + threads + IPC + unique IDs

Services Provided by the Exokernel

- Tracking ownership of resources
- Guarding all resource usage or binding points
- Revoking access to resources

How Are These Services Provided?

- *Secure bindings* allow library operating systems to bind to machine resources
- *Visible revocation* allows library operating systems to participate in resource revocation
- *Abort protocols* allow exokernel to break bindings of uncooperative library operating systems

What Doesn't the Exokernel Do?

- It does not manage resources
  - No attempt to control who does what with the hardware
    - **IF** they have obtained control of it properly
- No imposition of higher-level abstractions
  - No signal or RPC semantics

Exokernel Performance

- An exokernel architecture can be very, very fast
  - No abstractions to get in the way of exactly what needs doing
- Question - how smart does the programmer have to be for it to go that fast?

Key Unanswered Questions in Exokernel

- Have you simply bumped all problems of OS design from the kernel to user space?
- Can ordinary mortals (or even highly skilled application programmers) write OS-type code well enough to actually get performance gains?
- Is this stuff at all portable?

Fluke

- Another extensible operating system from the University of Utah
- Concept is to marry virtual machines with stackable systems
- Add extensibility by stacking new layers (of virtual machines) on top of a minimal virtual machine

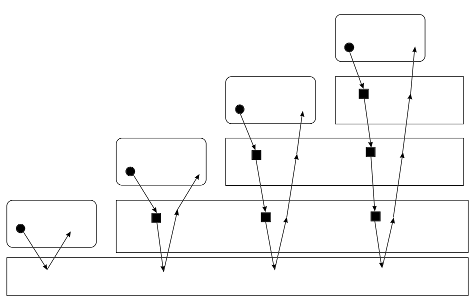
More on Virtual Machines

- Virtual machine operating systems provide higher level systems software the illusion of their own machine
- In principle, the virtual machine need not match the architecture of the physical machine
  - for fast operations, it should, most of the time

The Fluke Architecture

- A microkernel that provides a process model "machine"
- Ability to stack more virtual machines on top of the base one
- With efficient execution of both instructions and most system calls

The Stacking Problem



The Stacking Problem in the Virtual Machine Context

- If it's truly a virtual machine, you may need to virtualize **every instruction**
- This is extermely expensive
- How do you get around the problem in Fluke?

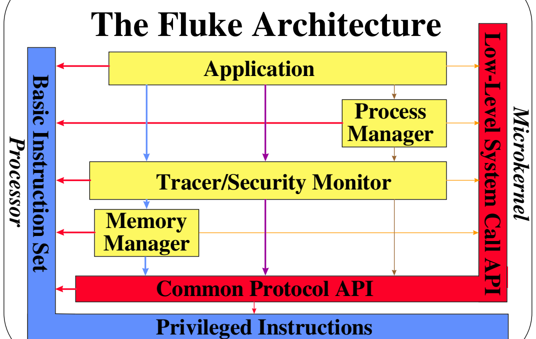
CS 239, Fall 1998 — Lecture 2, Page 58

Fluke's Answers to Stacking Costs

- Don't virtualize every instruction
  - only privileged instructions and system call
  - machine code mostly executes untouched
- Provide access at all levels to lowest level virtual machine system calls
  - if all you want is what the microkernel provides, skip going through the other layers

CS 239, Fall 1998 — Lecture 2, Page 59

The Fluke Architecture



CS 239, Fall 1998 — Lecture 2, Page 60

The Fluke Instruction Set

- A commonly used subset of the x86 instruction set
- Most compilers produce these instructions
- Privileged instructions (e.g., virtual memory controls) go through the microkernel
- If you use an unsupported x86 instruction, all bets are off

The Fluke Low-Level API

- Part of the microkernel
- A set of low-level objects and system calls
- Careful design maintains virtualizability of these calls
  – so any level of process can call them directly

What's in the Fluke μ-kernel?

- Address spaces
  – a very lightweight process/thread mechanism
- Thread objects
- Capabilities
  – References to kernel objects
  – Wrapped inside reference objects
  – Only the kernel sees the actual capability
- Scheduling primitives

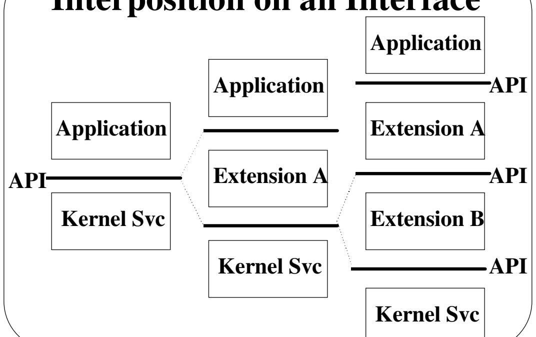
Building Useful Services in Fluke

- Microkernel services too minimal for many purposes
- Higher level services can be built on top of microkernel
  - At any level above the microkernel
- Must match interfaces defined for Fluke, to ensure they allow interposition

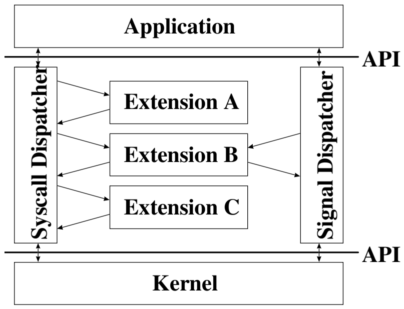
SLIC

- Extensibility for “commodity OSs”
- Goal is to simplify OS evolution in 2 areas
  - resource management, security enforcement
- Technique is to leverage *interposition* to insert trusted code at existing internal and/or external kernel interfaces
  - rewrite jump tables or initial procedure instrucs
  - code can execute at kernel-level or user-level

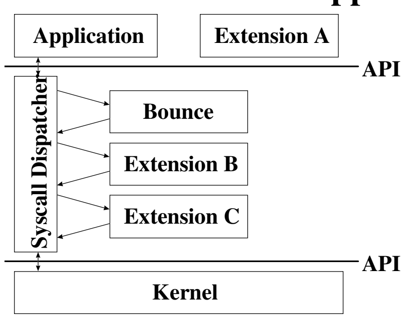
Interposition on an Interface



SLIC Dispatchers/Extensions



User-level Extension Support



Conclusions on OS Extensibility

- OS extensibility is still an open issue
- Highly differing, extreme positions guarantee fun times at workshops attended by proponents of various options
- Dig into the literature and decide for yourself!