# Novel Directions in File Systems Research

## CS239
## Advanced Operating Systems
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## Lecture Source Materials

- Vnodes: An Architecture for Multiple File System Types in Sun Unix, by Kleiman, in 1986 USENIX.
- File-system development with stackable layers, by Heidemann and Popek, in ACM TOCS, 12(1):58-89, 1994.



## More Source Materials

- A Flash-Memory Based File System, by Atsuo Kawaguchi, Shingo Nishioka, and Hiroshi Motoda, in 1995 Winter Usenix.
- A Pageable Memory Based Filesystem, by McKusick, et al, in 1990 Summer Usenix.



## Outline

- File System Extensibility
- Non-disk File Systems



## File System Extensibility

Any existing file system can be improved

No file system is perfect for all purposes

So the OS should make multiple file systems available

And should allow for future improvements to file systems



## Approaches to File System Extensibility

- Modify an existing file system
- Virtual file systems
- Layered and stackable file system layers

## Modifying Existing File Systems

Make the changes you want to an already operating file system

+ Reuses code
– But changes everyone's file system
– Requires access to source code
– Hard to distribute



## Virtual File Systems

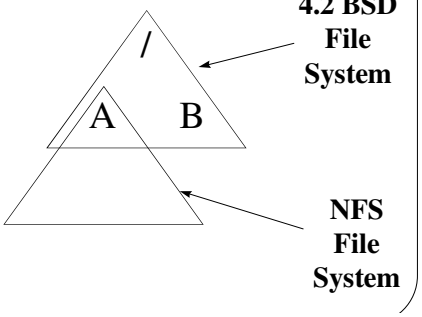
Permit a single OS installation to run multiple file systems

Using the same high-level interface to each

OS keeps track of which files are instantiated by which file system

Introduced by Sun








## Goals of Virtual File Systems

- Split FS implementation-dependent and -independent functionality
- Support semantics of important existing file systems
- Usable by both clients and servers of remote file systems
- Atomicity of operation
- Good performance, re-entrant, no centralized resources, "OO" approach

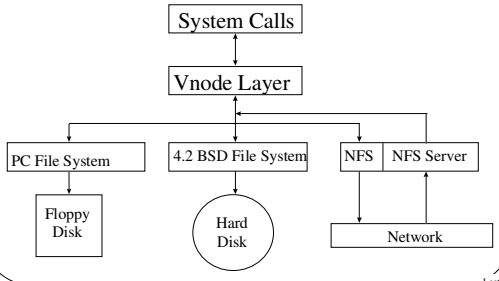


## Basic VFS Architecture

- Split the existing common Unix file system architecture
  - Normal user file-related system calls above the split
  - File system dependent implementation details below
- Inodes fall below
- `open()` and `read()` calls above



## VFS Architecture Block Diagram



## Virtual File Systems

Each VFS is linked into an OS-maintained list of VFS's

First in list is the root VFS

Each VFS has a pointer to its data

Which describes how to find its files

Generic operations used to access VFS's



## Vnodes

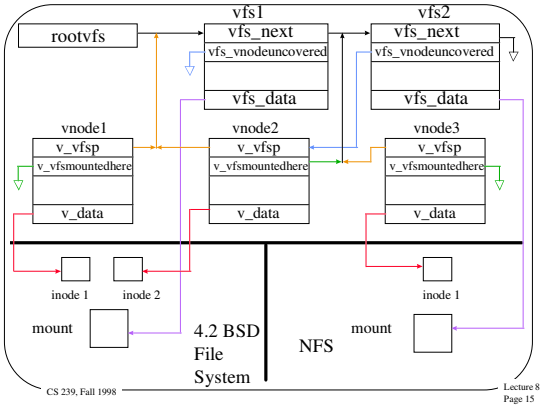
The per-file data structure made available to applications

Has both public and private data areas

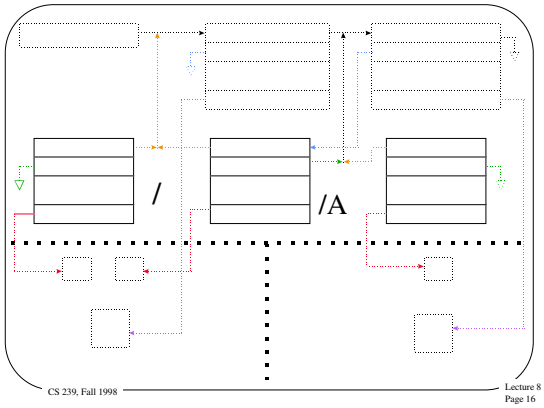
Public area is static or maintained only at VFS level

No locking done by the vnode layer










## Does the VFS Model Give Sufficient Extensibility?

The VFS approach allows us to add new file systems

But it isn't as helpful for improving existing file systems

What can be done to add functionality to existing file systems?



## Layered and Stackable File System Layers

Increase functionality of file systems by permitting some form of composition

One file system calls another, giving advantages of both

Requires strong common interfaces, for full generality

## Layered File Systems

Windows NT provides one example of layered file systems

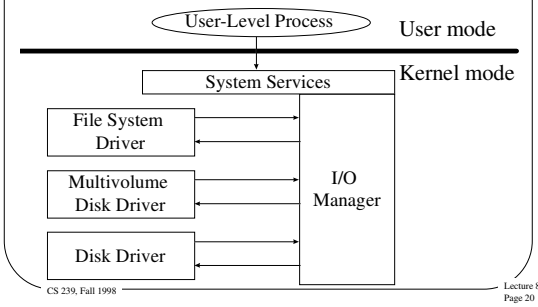
File systems in NT are the same as device drivers

Device drivers can call other device drivers

Using the same interface



## Windows NT Layered Drivers Example






## Another Approach - UCLA Stackable Layers

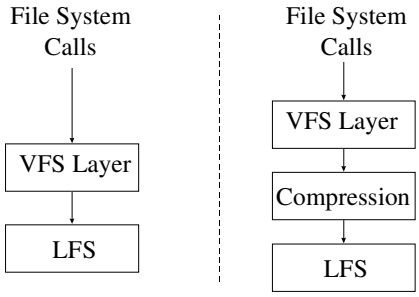
More explicitly built to handle file system extensibility

Layered drivers in Windows NT allow extensibility

Stackable layers supports extensibility



## Stackable Layers Example

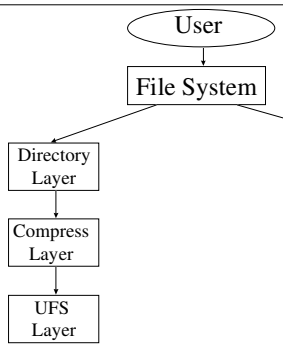





## How Do You Create a Stackable Layer?

- Write just the code that the new functionality requires
- Pass all other operations to lower levels (*bypass* operations)
- Reconfigure the system so the new layer is on top





## What Changes Does Stackable Layers Require?

- Changes to vnode interface
  - For full value, must allow expansion to the interface
- Changes to mount commands
- Serious attention to performance issues



## Extending the Interface

New file layers provide new functionality

Possibly requiring new vnode operations

Each layer must be prepared to deal with arbitrary unknown operations

*Bypass* vnode operation



## Handling a Vnode Operation

A layer can do three things with a vnode operation:

1. Do the operation and return
2. Pass it down to the next layer
3. Do some work, then pass it down

The same choices are available as the result is returned up the stack



## Mounting Stackable Layers

Each layer is mounted with a separate command

Essentially pushing new layer on stack

Can be performed at any normal mount time

Not just on system build or boot



## What Can You Do With Stackable Layers?

Leverage off existing file system technology, adding

- Compression
- Encryption
- Object oriented operations
- File replication

All without altering any existing code



## Performance of Stackable Layers

To be a reasonable solution, per-layer overhead must be low

In UCLA implementation, overhead is ~1-2% per layer

In system time, not elapsed time

Elapsed time overhead ~.25% per layer

Highly application dependent, of course

## File Systems Using Other Storage Devices

All file systems discussed so far have been disk-based

The physics of disks has a strong effect on the design of the file systems

Different devices with different properties lead to different file systems



## Other Types of File Systems

- In-core file systems
- Flash memory file systems
- Network/distributed file systems



## Fitting Various File Systems Into the OS

Something like VFS is very handy

Otherwise, need multiple file access interfaces for different file systems

With VFS, interface is the same and storage method is transparent

Stackable layers makes it even easier

Simply replace the lowest layer



## In-Core File Systems

Store files in main memory, not on disk

+ Fast access and high bandwidth
+ Usually simple to implement
– Hard to make persistent
– Often of limited size
– May compete with other memory needs



## Where Are In-Core File Systems Useful?

- When brain-dead OS can't use all main memory for other purposes
- For temporary files
- For files requiring very high throughput



## In-Core File System Architectures

- Dedicated memory architectures
- Pageable in-core file system architectures

## Dedicated Memory Architectures

Set aside some segment of physical memory to hold the file system

Usable only by the file system

Either it's small, or the file system must handle swapping to disk

RAM disks are typical examples



## Pageable Architectures

Set aside some segment of virtual memory to hold the file system

Share physical memory system

Can be much larger and simpler

More efficient use of resources

UNIX `/tmp` file systems are typical examples



## Basic Architecture of Pageable Memory File System

Uses VFS interface

Inherits most of code from standard disk-based filesystem

- including caching code

Uses separate process as "wrapper" for virtual memory consumed by FS data



## How Well Does This Perform?

Not as well as you might think

- Around 2 times disk based FS
- Why?

Because any access requires two memory copies

1. From FS area to kernel buffer
2. From kernel buffer to user space

Fixable if VM can swap buffers around



## Other Reasons Performance Isn't Better

Disk file system makes substantial use of caching

Which is already just as fast

But speedup for file creation/deletion is faster

- requires multiple trips to disk



## Flash Memory File Systems

- What is flash memory?
- Why is it useful for file systems?
- A sample design of a flash memory file system

## Flash Memory

A form of solid-state memory similar to ROM

Holds data without power supply

Reads are fast

Can be written once, more slowly

Can be erased, but very slowly

Limited number of erase cycles before degradation



## Writing In Flash Memory

If writing to empty location, just write

If writing to previously written location, erase it, then write

Typically, flash memories allow erasure only of an entire sector

Can read (sometimes write) other sectors during an erase



## Typical Flash Memory Characteristics

| | |
|---|---|
| Read cycle | 80-150 ns |
| Write cycle | 10 µs/byte |
| Erase cycle<br>Cycle limit<br>Sector size | 1 s/block<br>100,000 times<br>64 Kbytes |
| Power Consumption | 30-50 mA active<br>20-100 mA standby |
| Price | ~ $15/Mbyte |



## Advantages and Disadvantages of Flash Memory

+ Small, and light
+ Uses less power than disk
+ Read time comparable to main memory access time
+ No rotation/seek complexities
+ No moving parts (shock resistant)
– Expensive (disk costs 15 cents/Mbyte)
– Erase cycle very slow
– Limited number of erase cycles



## Flash Memory File System Architectures

- One basic decision to make
  - Is flash memory disk-like?
  - Or memory-like?

Should flash memory be treated as a separate device, or as a special part of addressible memory?



## Hitachi Flash Memory File System

Treats flash memory as device

As opposed to directly addressable memory

Basic architecture similar to log file system

## Basic Flash Memory FS Architecture

- Writes are appended to tail of sequential data structure
- Translation tables to find blocks later
- Cleaning process to repair fragmentation
- This architecture does no wear-leveling



## Flash Memory Banks and Segments

- Architecture divides entire flash memory into banks (8, in current implementation)
- Banks are subdivided into segments
  - 8 segments per bank, currently
- 256Kbytes per segment
- 16Mbyte total capacity



## Writing Data in Flash Memory File System

One bank is currently active

New data is written to block in active bank

When this bank is full, move on to bank with most free segments

Various data structures maintain illusion of "contiguous" memory



## Cleaning Up Data

Cleaning is done on a segment basis

When a segment is to be cleaned, its entire bank is put on a cleaning list

No more writes to bank till cleaning is done

Segments chosen in manner similar to LFS



## Cleaning a Segment

- Copy live data to another segment
- Erase entire segment
  - segment is erasure granularity
- Return bank to active bank list



## Performance of the Prototype Flash Memory System

No seek time, so sequential/random access should be equally fast

Around 650-700 Kbytes per second

Read performance goes at this speed

Write performance slowed by cleaning

How much depends on how full the file system is

Also, writing is simply slower in flash

## More Flash Memory File System Performance Data

- On Andrew Benchmark, performs comparably to pageable memory FS
  - Even when flash memory nearly full
- This benchmark does lots of reads, few writes
  - Allowing flash file system to perform lots of cleaning without delaying writes